

2132 BT
Docket No. SUN-P7015

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: de Jong et al.
SERIAL NO.: 10/040,293
FILING DATE: October 29, 2001
TITLE: PRIVACY AND IDENTIFICATION IN A DATA
COMMUNICATIONS NETWORK
EXAMINER: [not yet assigned]
ART UNIT: [not yet assigned]

RECEIVED

NOV 04 2002

Technology Center 2100

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class
Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231, on the date
printed below:

Date: 10-28-02
October 28, 2002

Name: Sherri L. Ballbach
Sherri L. Ballbach

COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Each item of information listed in the attached FORM PTO-1449, for which a
copy of each is attached, may be material to the examination of the above-identified
application and is, therefore, submitted in compliance with the duty of disclosure defined
in 37 CFR §§ 1.56, 1.97 and 1.98. The Examiner is requested to make these items of
official record in this application.

This Information Disclosure Statement under 37 CFR §§ 1.56, 1.97 and 1.98 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any one or more of these items constitutes prior art.

I

This statement is filed pursuant to:

(X) 37 C.F.R. § 1.97(b).

This information disclosure statement is filed either (1) within three months of the filing date of a national application other than a continued prosecution application under §1.53(d); (2) within three months of the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international application; or (3) before the mailing date of a first office action on the merits, whichever event occurs last.

Accordingly, this information disclosure statement requires no fee and no certification.

() 37 C.F.R. § 1.97(c).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (b), but before the mailing date of either (1) a final action under 37 C.F.R. § 1.113; (2) a notice of allowance under 37 C.F.R. § 1.311; or (3) an action that otherwise closes prosecution in the application.

Accordingly, this information disclosure statement requires either the fee specified in 37 C.F.R. § 1.17 (p) for submission of an information disclosure statement under 37 C.F.R. § 1.97 (c) (\$180); or a certification according to 37 C.F.R. § 1.97 (e).

() 37 C.F.R. § 1.97(d).

This information disclosure statement is filed after the period specified in 37 C.F.R. § 1.97 (c).

Accordingly, this information disclosure statement requires the fee specified in 37 C.F.R. § 1.17 (p) to consider an information disclosure statement under 37 C.F.R. § 1.97(d) (\$180), and a certification according to 37 C.F.R. § 1.97(e).

If this statement crosses in the mail with an office action, or is otherwise not in the indicated category of 37 C.F.R. § 1.97, it is respectfully requested that this statement be treated in the next appropriate category and made of record. To the extent required, please treat this paper as a conditional petition for acceptance of the information disclosure statement.

II

- (X) No fee is due.
- () The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698. An additional copy of this page is enclosed.

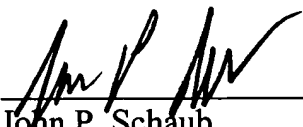
III

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- () (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

Respectfully submitted,
THELEN REID & PRIEST LLP

Dated: October 28, 2002



John P. Schaub
Reg. No. 42,125

THELEN REID & PRIEST LLP
P.O. Box 640640
San Jose, CA 95164-0640
(408) 292-5800

II

COPY

- (X) No fee is due.
- () The fee specified in 37 C.F.R. § 1.17(p) for submission of an information disclosure statement under 37 C.F.R. § 1.97(c) is enclosed (\$180).

Please charge any additional required fee or credit any overpayment to our deposit account number 50-1698. An additional copy of this page is enclosed.

RECEIVED

NOV 04 2002

Technology Center 2100

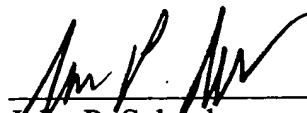
III

Pursuant to 37 C.F.R. § 1.97(e), I certify:

- (X) No certification is necessary.
- () (1) Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- () (2) No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c), more than three months prior to the filing of the statement.

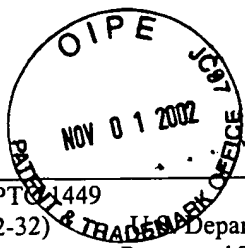
Respectfully submitted,
THELEN REID & PRIEST LLP

Dated: October 28, 2002



John P. Schaub
Reg. No. 42,125

THELEN REID & PRIEST LLP
P.O. Box 640640
San Jose, CA 95164-0640
(408) 292-5800



Form PTOL 1449 (Rev. 2-32) U.S. Department of Commerce Patent and Trademark Office				Atty. Docket No. SUN-P7015		Serial No. 10/040,293		
Information Disclosure Statement by Applicant				Applicant: de Jong et al.				
(Use several sheets if necessary)				Filed: 10/29/01 Group:				
U.S. Patent Documents								
Init.		Document No.	Date	Name	Class	Subclass	Filing Date	
	A	6,373,950	04/16/02	Rowney	380	255	06/17/96	
Foreign Documents								
							Translation	
Init.		Document No.	Date	Country	Class	Subclass	Yes	No
	B	98/37526	19.02.98	WO	G07F 7/10			
Other Documents (Including Author, Title, Date, Pertinent Pages, etc.)								
?	C	Chan, "Infrastructure of Multi-Application Smart Card", http://home.hkstar.com/~alanchan/papers/multiApplicationsSmartCard/ , July 25, 2002						
	D	Chen, Zhiquan, "Java Card™ Technology for Smart Cards", <i>Sun Microsystems</i> , pages 11- 16, June, 2000						
	E	Cordonnier, et al., "The concept of suspicion: a new security model for identification management in smart cards", http://inforge.unil.ch/isdss97/papers/48.htm , (1997)						
	F	de Kerf, B. et al., "N-Count Fast, Low-Cost Secure Payments using Smart Cards", QC Technology, Version 1.1, February 1997, 8 pages.						
	G	"Sun Delivers On Vision to Bring JAVA Technology to the Consumer and Embedded Market", Business Wire, September 28, 1999						
	H	"Sun Microsystems Announces JAVACARD API", Business Wire, October 1996						
	I	Sun Microsystems: "Sun's JAVA Technology Leads Open Worldwide Market for Smart Cards", M2, Presswire, May 1999						
	J	Sun Microsystems, Inc., Java™ Servlet Specification Version 2.3, September 2001, 256 pages.						
	K	Sun Microsystems, Inc., "Mobile Information Device Profile (JSR-37), December 15, 2000, 284 pages.						
Examiner					Date Considered			
Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.								